

01/31/01  
JC839 U.S. PTO

02-02-01

A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37CFR§1.53(b))</small>	Attorney Docket No.	KLR 7146.084
	First Inventor or Application Identifier	Jagtar Singh Saroya
	Title	WAVE PLATE MOUNTING
	Express Mail Label No.	EL619333094US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	Commissioner for Patents & Trademarks ADDRESS TO: Box Patent Application Washington, D.C. 20231
---	---

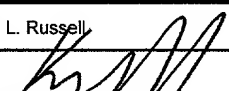
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total pages <span style="border: 1px solid black; padding: 0 5px;">17</span></span> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Federally Sponsored Research</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer readable copy</li> <li>b. <input type="checkbox"/> Paper copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">3</span></span>	<div style="border: 1px solid black; padding: 2px; text-align: center;">ACCOMPANYING APPLICATION PARTS</div> 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>when there is an assignee</small> 9. <input type="checkbox"/> English translation document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small> 13. <input type="checkbox"/> *Small Entity Statements <input type="checkbox"/> Statement filed in prior application. <small>(PTO/sb/09-12)</small> <small>Status still proper and desired.</small> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other
4. Oath or Declaration <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">2</span></span> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))  <small>(for continuation/divisional with Box 16 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> Deletion of Inventor(s)  Signed statement attached deleting inventor(s)  named in the prior application,  see 37 CFR §§1.53(d)(2) and 1.33(b)</li> </ul> </li> </ul>	
<p>* Note for Items 1 &amp; 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</p>	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell				
Address	601 SW Second Ave., Suite 1600				
City	Portland	State	OR	Zip Code	97204-3157
Country	USA	Telephone	(503)227-5631	FAX	(503)228-4373
Name (print type)	Kevin L. Russell			Registration No.	38,292
Signature				Date	January 31, 2001

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known

Application Number N/A  
Filing Date January 31, 2001  
First Named Inventor Jagtar Singh Saroya  
Examiner Name N/A  
Group/ Art Unit N/A  
Attorney Docket No. KLR 7146.084

TOTAL AMOUNT OF PAYMENT \$1,008

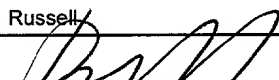
109/773396  
U.S. PRO

01/31/01

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:</p> <p>Deposit Account Number 03-1550</p> <p>Deposit Account Name Chernoff Vilhauer McClung &amp; Stenzel</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 &amp; 1.17</p>		<p>3. ADDITIONAL FEES</p> <p>Large Entity Small Entity</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1840*</td> <td>113</td> <td>1840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218</td> <td>680</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>561</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>\$40</td> </tr> <tr> <td>146</td> <td>690</td> <td>246</td> <td>345</td> <td>Filing a submission after final rejection (37 C.F.R. 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>690</td> <td>249</td> <td>345</td> <td>For each additional invention to be examined (37 C.F.R. 1.129(b))</td> <td></td> </tr> <tr> <td colspan="4">Other (specify)</td> <td></td> <td></td> </tr> <tr> <td colspan="4">Other (specify)</td> <td></td> <td></td> </tr> <tr> <td colspan="4">* Reduced by Basic Filing Fee Paid</td> <td></td> <td></td> </tr> <tr> <td colspan="2">SUBTOTAL (1)</td> <td colspan="2">\$690</td> <td colspan="2">SUBTOTAL (3)</td> <td>\$40</td> </tr> </tbody> </table>		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge-late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	380	216	190	Extension for reply within second month		117	870	217	435	Extension for reply within third month		118	1,360	218	680	Extension for reply within fourth month		128	1,850	228	925	Extension for reply within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Statement		561	40	581	40	Recording each patent assignment per property (times number of properties)	\$40	146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))		149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))		Other (specify)						Other (specify)						* Reduced by Basic Filing Fee Paid						SUBTOTAL (1)		\$690		SUBTOTAL (3)		\$40
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																									
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																										
127	50	227	25	Surcharge-late provisional filing fee or cover sheet																																																																																																																																																																																										
139	130	139	130	Non-English specification																																																																																																																																																																																										
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																										
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																										
113	1840*	113	1840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																										
115	110	215	55	Extension for reply within first month																																																																																																																																																																																										
116	380	216	190	Extension for reply within second month																																																																																																																																																																																										
117	870	217	435	Extension for reply within third month																																																																																																																																																																																										
118	1,360	218	680	Extension for reply within fourth month																																																																																																																																																																																										
128	1,850	228	925	Extension for reply within fifth month																																																																																																																																																																																										
119	300	219	150	Notice of Appeal																																																																																																																																																																																										
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																										
121	260	221	130	Request for oral hearing																																																																																																																																																																																										
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																										
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																										
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																										
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																										
143	430	243	215	Design issue fee																																																																																																																																																																																										
144	580	244	290	Plant issue fee																																																																																																																																																																																										
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																										
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																										
126	240	126	240	Submission of Information Disclosure Statement																																																																																																																																																																																										
561	40	581	40	Recording each patent assignment per property (times number of properties)	\$40																																																																																																																																																																																									
146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))																																																																																																																																																																																										
149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))																																																																																																																																																																																										
Other (specify)																																																																																																																																																																																														
Other (specify)																																																																																																																																																																																														
* Reduced by Basic Filing Fee Paid																																																																																																																																																																																														
SUBTOTAL (1)		\$690		SUBTOTAL (3)		\$40																																																																																																																																																																																								
<p>2. <input checked="" type="checkbox"/> Payment Enclosed</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>101</td> <td>690</td> <td>201</td> <td>345</td> <td>Utility filing fee</td> <td>690</td> </tr> <tr> <td></td> <td></td> <td>106</td> <td>310</td> <td>206</td> <td>155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>107</td> <td>480</td> <td>207</td> <td>240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>108</td> <td>690</td> <td>208</td> <td>345</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			101	690	201	345	Utility filing fee	690			106	310	206	155	Design filing fee				107	480	207	240	Plant filing fee				108	690	208	345	Reissue filing fee				114	150	214	75	Provisional filing fee																																																																																																																																												
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																							
		101	690	201	345	Utility filing fee	690																																																																																																																																																																																							
		106	310	206	155	Design filing fee																																																																																																																																																																																								
		107	480	207	240	Plant filing fee																																																																																																																																																																																								
		108	690	208	345	Reissue filing fee																																																																																																																																																																																								
		114	150	214	75	Provisional filing fee																																																																																																																																																																																								
<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>31</td> <td>-20* = 11</td> <td>18</td> <td>198</td> </tr> <tr> <td>5</td> <td>-3* = 2</td> <td>40</td> <td>80</td> </tr> <tr> <td colspan="4">Multiple Dependent</td> </tr> </tbody> </table> <p>*or number of previously paid, if greater. For reissues, see below.</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td></td> <td></td> <td>102</td> <td>78</td> <td>202</td> <td>39</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td></td> <td></td> <td>104</td> <td>260</td> <td>204</td> <td>130</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td></td> <td></td> <td>109</td> <td>78</td> <td>209</td> <td>39</td> <td>*Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td></td> <td></td> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>*Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	31	-20* = 11	18	198	5	-3* = 2	40	80	Multiple Dependent				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			103	18	203	9	Claims in excess of 20				102	78	202	39	Independent claims in excess of 3				104	260	204	130	Multiple dependent claim, if not paid				109	78	209	39	*Reissue independent claims over original patent				110	18	210	9	*Reissue claims in excess of 20 and over original patent		<p>2. SUBTOTAL (2)</p> <p>\$278</p>																																																																																																																												
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																											
31	-20* = 11	18	198																																																																																																																																																																																											
5	-3* = 2	40	80																																																																																																																																																																																											
Multiple Dependent																																																																																																																																																																																														
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																							
		103	18	203	9	Claims in excess of 20																																																																																																																																																																																								
		102	78	202	39	Independent claims in excess of 3																																																																																																																																																																																								
		104	260	204	130	Multiple dependent claim, if not paid																																																																																																																																																																																								
		109	78	209	39	*Reissue independent claims over original patent																																																																																																																																																																																								
		110	18	210	9	*Reissue claims in excess of 20 and over original patent																																																																																																																																																																																								

SUBMITTED BY Complete (if applicable)

Name (print type) Kevin L. Russell Registration No. 38,292 Telephone (503) 227-5631

Signature  Date January 31, 2001